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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	57239
First Inventor	Walter K. LIM
Title	DEVICE AND METHOD FOR EXTINGUISHING A CANDLE FLAME
Express Mail Label No.	EU 532734369 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 12]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Sheets 3]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Advanced Notice Serial No. Card, Check in the amount of \$411.00, Certificate of Express Mailing

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information:

Examiner

Art Unit:

For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number: OR ☒ Correspondence address below

Name Dennis H. Lambert & Associates

Address 7000 View Park Drive

City Burke

State Virginia

Zip Code 22015

Country USA

Telephone 703-451-1227

Fax 703-451-1297

Name (Print/Type) Dennis H. Lambert

Registration No. (Attorney/Agent) 25,017

Signature

Date 08/26/2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

 22386 U.S. PTO
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1636
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THE COMMISSIONER OF PATENTS AND TRADEMARKS

P.O. Box 1450

Alexandria, Virginia 22314-1450

FEE TRANSMITTAL

SIR:

Transmitted herewith for filing under 35 USC 111 and 37 CFR 1.53 is the ☐ Design ☒ Utility patent application of:

INVENTORS: Walter K. Lim and Arthur A. Krause

ENTITLED: DEVICE AND METHOD FOR EXTINGUISHING A CANDLE FLAME

Enclosed are:

- ☒ 12 page(s) of written description, claims (24) and abstract.
☐ 2 sheet(s) of drawings.
☐ An assignment of the invention to:
☒ Executed declaration of the inventor(s) (3 pp.).
☐ A certified copy of a _____ application. Priority is claimed if not already of record.
☒ Applicant claims small entity status under 37 CFR 1.9 and 37 CFR 1.27.
☐ Information Disclosure Statement w/copies of references.
☒ Certificate of Express Mailing and Return Receipt Postcard.
☒ Other: Utility Patent Application Transmittal, Advanced Notice Serial No. Card.

The filing fee has been calculated as shown below:

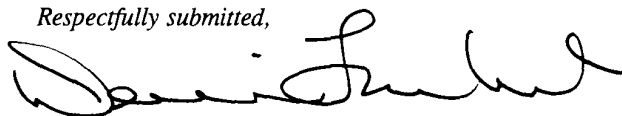
ITEM AS FILED		# EXTRA	SMALL ENTITY	FULL FEE
Basic Fee			<input checked="" type="checkbox"/> Utility \$375. <input type="checkbox"/> Design \$165.	<input type="checkbox"/> Utility \$750. <input type="checkbox"/> Design \$330.
Total Claims	24 - 20 =	4 ¹	× \$ 9 = \$36	× \$ 18 = \$0
Independent Claims	2 - 3 =	0 ²	× \$ 42 = \$0	× \$ 84 = \$0
<input type="checkbox"/> Multiple Dependent Claims in Proper Form Presented			+ \$140 =	+ \$280 =
TOTAL			\$411.00	\$

¹ If less than 20 filed, enter 0. ² If less than 3 filed, enter 0.

- ☐ Please charge my **Deposit Account Number** _____ in the amount of \$ _____ to cover the filing fee (and assignment recording fee, if any). A duplicate copy of this paper is enclosed.
- ☒ A check in the amount of \$ **411.00** to cover the filing fee and extra claims is enclosed.
- ☐ The Commissioner is hereby authorized to charge any additional fees associated with this communication, including patent application filing fees and processing fees under 37 CFR 1.16 and 37 CFR 1.17 or credit any overpayment to **Deposit Account Number** _____. A duplicate copy of this paper is enclosed.

DENNIS H. LAMBERT & ASSOCIATES
 7000 VIEW PARK DRIVE
 BURKE, VIRGINIA 22015
 TEL: 703-451-1227
 FAX: 703-451-1297
 DATE: August 26, 2003

Respectfully submitted,



Dennis H. Lambert, Reg. No. 25,017
 Attorney for Applicant

Docket No. 57239

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: New U. S. Patent Application
Applicant: Walter K. Lim and Arthur A. Krause
Filed: Herewith
Title: DEVICE AND METHOD FOR EXTINGUISHING A CANDLE FLAME

Docket No.: 57239


CERTIFICATE OF EXPRESS MAILING

Mail Stop: Patent Application - Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22314-1450

Sir:

I, Kelli S. Harris, hereby certify that the attached: **Utility Patent Application Transmittal, Fee Transmittal, Specification (12 pp.), including claims (24) and abstract, 2 sheets of drawings and executed Declaration (3 pp.)**, along with a first-class postage prepaid return receipt card and advanced notice serial no. card, are being deposited today, **August 26, 2003** with the United States Postal Service with sufficient postage as Express Mail with mailing label number **EU 532734369 US** in an envelope addressed to:

Mail Stop: Patent Application - Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22314-1450.


Kelli S. Harris

August 26, 2003
Date

DHL:ksh

Dennis H. Lambert & Associates
7000 View Park Drive
Burke, Virginia 22015
Tel: 703-451-1227
Fax: 703-451-1297